

2017 Regular Session

HOUSE BILL NO. 17

BY REPRESENTATIVE TERRY BROWN

1 AN ACT

2 To enact R.S. 51:703(K), relative to the disclosure of certain account information; to provide  
3 for the release of certain account information of a decedent by a dealer; to provide  
4 for certain required documents; and to provide for related matters.

5 Be it enacted by the Legislature of Louisiana:

6 Section 1. R.S. 51:703(K) is hereby enacted to read as follows:

7 §703. Registration of dealers, salesmen, and investment advisers and investment  
8 adviser representatives; surety bonds; records; disclosures

9 \* \* \*

10 K. A dealer or any affiliate may release account information of a decedent  
11 to any representative or attorney for the estate of the decedent when the dealer or  
12 affiliate receives written notice of the customer's death and satisfactory evidence of  
13 that person's authority to represent the estate of the decedent. Satisfactory evidence  
14 may include an affidavit executed by the person attesting to such relationship with  
15 the estate.

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SPEAKER OF THE HOUSE OF REPRESENTATIVES

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PRESIDENT OF THE SENATE

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GOVERNOR OF THE STATE OF LOUISIANA

APPROVED: \_\_\_\_\_