
HOUSE COMMITTEE AMENDMENTS

2016 Regular Session

Amendments proposed by House Committee on Civil Law and Procedure to Original House Bill No. 972 by Representative Terry Brown

1 AMENDMENT NO. 1

2 On page 1, line 2, change "R.S. 51:710.3" to "R.S. 51:703(K)"

3 AMENDMENT NO. 2

4 On page 1, line 3, change "brokerage firm" to "dealer"

5 AMENDMENT NO. 3

6 On page 1, line 4, delete "to provide for definitions;"

7 AMENDMENT NO. 4

8 On page 1, line 7, change "R.S. 51:710.3" to "R.S. 51:703(K)"

9 AMENDMENT NO. 5

10 On page 1, delete line 8 in its entirety and insert in lieu thereof the following:

11 "§703. Registration of dealers, salesmen, and investment advisers and
12 investment adviser representatives; surety bonds; records; disclosures

13 * * *

14 AMENDMENT NO. 6

15
16 On page 1, delete lines 9 through 15 in their entirety and insert in lieu thereof the following:

17 **"K. Disclosures of investment account information. A dealer or**
18 **any affiliate is hereby specifically authorized to release account information**
19 **of a decedent to the parent, surviving spouse, child, or sibling of the**
20 **decedent, or to an attorney at law representing the estate of the decedent,**
21 **upon the dealer's receiving a copy of the death certificate of the decedent and**
22 **either of the following:**

23 **(1) An affidavit executed by the parent, surviving spouse, child, or**
24 **sibling attesting to such relationship with the decedent.**

25 **(2) An affidavit executed by the attorney at law attesting to his**
26 **representation of the estate of the decedent."**

27 AMENDMENT NO. 7

28 On page 1, delete lines 16 through 19 in their entirety.