

HOUSE COMMITTEE AMENDMENTS

2017 Regular Session

Amendments proposed by House Committee on Commerce to Original House Bill No. 17
by Representative Terry Brown

1 AMENDMENT NO. 1

2 On page 1, line 2, delete "R.S. 51:710.3" and insert in lieu thereof "R.S. 51:703(K)"

3 AMENDMENT NO. 2

4 On page 1, line 3, delete "brokerage firm" and insert in lieu thereof "dealer"

5 AMENDMENT NO. 3

6 On page 1, line 4, delete "to provide for definitions;"

7 AMENDMENT NO. 4

8 On page 1, line 7, delete "R.S. 51:710.3" and insert in lieu thereof "R.S. 51:703(K)"

9 AMENDMENT NO. 5

10 On page 1, delete line 8 in its entirety and insert in lieu thereof the following:

11 "§703. Registration of dealers, salesmen, and investment advisers and
12 investment adviser representatives; surety bonds; records; disclosures"

13 AMENDMENT NO. 6

14 On page 1, delete line 9 in its entirety and insert in lieu thereof the following:

15 "* * *"

16 K. A dealer or any affiliate may release account information of a"

17 AMENDMENT NO. 7

18 On page 1, line 11, delete "brokerage firm" and insert in lieu thereof "dealer"

19 AMENDMENT NO. 8

20 On page 1, delete lines 15 through 18 in their entirety