

ACT No. 74

2018 Regular Session

HOUSE BILL NO. 233

BY REPRESENTATIVE COUSSAN

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AN ACT

To enact R.S. 51:705(G)(3), relative to the registration of securities; to require the submission of notice filings; to provide notice requirements for crowd funding filings submitted to the Securities and Exchange Commission pursuant to Section 18(b)(4)(C) of the Securities Act of 1933; to require a filing fee; and to provide for related matters.

Be it enacted by the Legislature of Louisiana:

Section 1. R.S. 51:705(G)(3) is hereby enacted to read as follows:

§705. Registration of securities; when and how required; delivery of prospectus

* * *

G. Notice filing for federal covered securities.

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(3)(a) With respect to any security that is a federal covered security under Section 18(b)(4)(C) of the Securities Act of 1933, as amended, and for purposes of renewal, the issuer shall make a notice filing with the commissioner consisting of a copy of all documents filed with the Securities and Exchange Commission pursuant to Section 4A(b) of the Securities Act of 1933 when either of the following Items apply:

(i) The issuer's principal place of business, as defined in the rules and regulations of the Securities and Exchange Commission, is in this state.

